

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: CLASP-2 TRANSMEMBRANE PROTEINS the specification of which is attached hereto.

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Andrew T. Serafini, Reg. No. 41,303 William M. Smith, Reg. No. 30,223 Randolph T. Apple, Reg. No. 36,429

Send Correspondence to:	Direct Telephone Calls to:	
Andrew T. Serafini, Ph.D.	(Name, Reg. No.,	Telephone No.)
TOWNSEND and TOWNSEND and CREW LLP	Name:	Andrew T. Serafini, Ph.D.
Two Embarcadero Center, 8th Floor	Reg. No.:	41,303
San Francisco, California 94111-3834	Telephone:	650-326-2400

|--|

	Last Name:	First Name:	Middle Name or Initial:
	LU	PETER	S.
Residence &	City:	State/Foreign Country:	Country of Citizenship:
Citizenship:	Mountain View	California	United States
Post Office	Post Office Address:	City:	State/Country: Postal Code:
Address:	99 East Middlefield Road,	Mountain View	California 94043
	No. 29		U.S.A.
	Last Name:	First Name:	Middle Name or Initial:
	GARMAN	JONATHAN	DAVID
Residence &	City:	State/Foreign Country:	Country of Citizenship:
Citizenship:	San Jose	California	United States
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11001000	1		U.S.A.
	Last Name:	First Name:	Middle Name or Initial:
	CANDIA III	ALBERT	FREDERICK
Residence &	City:	State/Foreign Country:	Country of Citizenship:
Citizenship:	Menlo Park	California	United States
Post Office	Post Office Address:	City:	State/Country: Postal Code:
Address:	789 Marsh Road	Menlo Park	California 94025
			U.S.A.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
Peter S. Lu	Jordathan David Carman	Albert Frederick Candia III
Date Jan 19, 2001	Date Jan 19, 2001	Date Ju. 19, 2001
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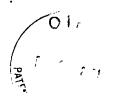


## Attorney Docket No. 020054-000210US

## CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Applicant: Pete	er S. Lu et al.
Application No.	:: 09/687,837 Filed: October 13, 2000
For: CLASP-2	TRANSMEMBRANE PROTEIN
Arbor Vita Corr (Name of Assignee)	ODITATION , a <u>corporation</u> (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
certifies that it is	s assignee of the patent application identified above by virtue of either:
A. An ass	signment from the inventor(s) of the patent application identified above.
	in of title from the inventor(s), of the patent application identified above, to the current assignee as nown below:
Th	rom: To: To: the document was recorded in the Patent and Trademark Office at eel, or for which a copy thereof is attached.
Th	rom: To: To: ne document was recorded in the Patent and Trademark Office at eel, Frame, or for which a copy thereof is attached.
Th	rom: To: To: e document was recorded in the Patent and Trademark Office at eel, Frame, or for which a copy thereof is attached.
Additional	documents in the chain of title are listed on a supplemental sheet.
Copies of a	assignments or other documents in the chain of title are attached.
The undersigned	d (whose title is supplied below) is empowered to act on behalf of the assignee.
information and willful false stat	that all statements made herein of my own knowledge are true, and that all statements made on belief are believed to be true; and further, that these statements are made with the knowledge that tements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, United States Code, and that such willful false statements may jeopardize the validity of the application buing thereon.
Date: Name: Pet	Jan 20, 2001 ter S. Lu
Title: Pre	esident/CEO
Signature:	Mt & dn

rev. 4/99 PA 154361 v1 PA 3122830 v1



Attorney Docket No.: 20054-000210US

## ASSIGNMENT OF PATENT APPLICATION



WHEREAS, Peter S. Lu, of 99 East Middlefield Road, No. 29, Mountain View, CA 94043; Jonathan David Garman, of 5084 McCoy Avenue, San Jose, CA 95130; Albert F. Candia III, of 789 Marsh Road, Menlo Park, CA 94025; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

**CLASP-2 TRANSMEMBRANE PROTEIN** 

Date(s) of execution of Declaration:

Filing Date:

October 13, 2000

Application No.:

09/687,837; and

WHEREAS, Arbor Vita Corporation, a corporation of the state of California, located at 772 Lucerne Drive, Sunnyvale, CA, 94086, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignment

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Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

	0.1 0 1
Dated: 19, 2001	Peter S. Lu
STATE OF CALIFORNIA )	
COUNTY OF ) ss.	$\pi$ . $C$ .
satisfactory evidence) to be the person whose nan	before me, Johannes Schweizer, personally known to me (or proved to me on the basis of me is subscribed to the within instrument, and acknowledged to me zed capacity, and that by his/her signature on the instrument the son acted, executed the instrument.
WITNESS my hand and official seal.	Polar C  NOTARY PUBLIC  Witness
My Commission Expires:	Λ .
Dated: Jan 19, 2001	Jonathan David Garman
STATE OF CALIFORNIA )	
COUNTY OF ) ss.	
on Ol - 19 - 01  personally appeared Jonathan Day basis of satisfactory evidence) to be the personal acknowledged to me that he/she executed the sar	, before me, Johannes Schweizer, id Garman, personally known to me (or proved to me on the son whose name is subscribed to the within instrument, and me in his/her authorized capacity, and that by his/her signature on If of which the person acted, executed the instrument.
WITNESS my hand and official seal.	N
·	NOTARY PUBLIC
	witness
My Commission Expires:	MILNIESS

Attorney Docket No.: 20054-000210US Page 3
Dated: Jun 19, 200)  Albert F. Candia III
STATE OF CALIFORNIA )
) ss.
COUNTY OF )
on 01-19-01, before me, Johannes Schweizer, personally appeared Albert F. Candia III, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.  NOTARY PUBLIC  WITHESS
My Commission Expires:

Assignment